

Case No. 11057-US-PAT/80228

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450


In re Application of:        **ROY**  
Serial No.:                **10/780,949**  
Filed:                      **February 18, 2004**  
For:                        **COMMUNICATIONS SYSTEM PROVIDING ADAPTIVE  
POLLING BASED UPON USER USAGE PATTERNS  
AND RELATED METHODS**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

1.    ☐    This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2.    ☐    This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3.    ☒    This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4.    ☐    This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$ 130.00 to cover the petition fee.
5.    ☒    The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6.    ☒    Please associate this application with Customer No. 27975.

Date: June 8, 2007

  
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Christopher F. Regan  
Reg. No. 34,906

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                     |                      |
|-------------------------------------|----------------------|
| In re Patent Application of:        | )                    |
| ROY                                 | )                    |
|                                     | )                    |
| Serial No. 10/780,949               | ) Docket No.         |
|                                     | ) 11057-US-PAT/80228 |
| Filing Date: February 18, 2004      | )                    |
|                                     | )                    |
| For: COMMUNICATIONS SYSTEM          | )                    |
| PROVIDING ADAPTIVE POLLING          | )                    |
| BASED UPON USER USAGE               | )                    |
| <u>PATTERNS AND RELATED METHODS</u> | )                    |

SUBMISSION OF AN INFORMATION  
DISCLOSURE STATEMENT UNDER §1.97(c) & (e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Copies of non-U.S. patent references, if any, are attached. Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

(1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

In re Patent Application of:

**ROY**

Serial No. 10/780,949

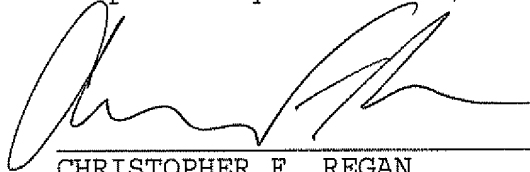
Filing Date: **February 18, 2004**

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(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

Respectfully submitted,



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|   |    |   |             |  |              |                      |                    |
|---|----|---|-------------|--|--------------|----------------------|--------------------|
| <b>SUBSTITUTE FORM PTO-1449A<br/>LIST OF PATENTS AND<br/>APPLICANT'S INFORMATION<br/>DISCLOSURE STATEMENT</b>   |    | Atty Docket:<br>Serial No.:<br>Applicant:<br>Filing Date:<br>Group: |             | 11057-US-PAT/80228<br>10/780,949<br>ROY<br>February 18, 2004 |              |                      |                    |
| <b>U.S. PATENT DOCUMENTS</b>  |    |   |             |  |              |                      |                    |
| <b>Examiner<br/>Initials</b>  |    | <b>Document<br/>Number</b>  | <b>Date</b> | <b>Name</b>  | <b>Class</b> | <b>Sub<br/>Class</b> | <b>Filing Date</b> |
|   | AA |   |             |  |              |                      |                    |
|   | AB |   |             |  |              |                      |                    |
|   | AC |   |             |  |              |                      |                    |
|   | AD |   |             |  |              |                      |                    |
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|   | AF |   |             |  |              |                      |                    |
|   | AG |   |             |  |              |                      |                    |
|   | AH |   |             |  |              |                      |                    |
|   | AI |   |             |  |              |                      |                    |
|   | AJ |   |             |  |              |                      |                    |
|   | AK |   |             |  |              |                      |                    |
|   | AL |   |             |  |              |                      |                    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |   |             |  |              |                      |                    |
|   |    | <b>Document<br/>Number</b>  | <b>Date</b> | <b>Country</b>   | <b>Class</b> | <b>Sub<br/>Class</b> | <b>Translation</b> |
|   | AM | 2004/059447   | 7/15/04     | WO   | G06F         |                      |                    |
|   | AN |   |             |  |              |                      |                    |
|   | AO |   |             |  |              |                      |                    |
|   | AP |   |             |  |              |                      |                    |
| <b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |   |             |  |              |                      |                    |
|   | AQ |   |             |  |              |                      |                    |
|   | AR |   |             |  |              |                      |                    |
|   | AS |   |             |  |              |                      |                    |
| <b>EXAMINER:</b>  |    |   |             | <b>DATE CONSIDERED:</b>                                      |              |                      |                    |
| <p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |    |   |             |  |              |                      |                    |